## History of the Diagnosis of Autism:

Evidence-Based Treatment for a Changing Diagnosis





Autism: Pre-DSM

Eugen Blueler - 1911

Leo Kanner - 1943

Hans Asperger - 1944





Am Spiegelgrund Clinic, Vienna



#### DSM I - 1952

000-x28 Schizophrenic reaction, childhood type

"Here will be classified those schizophrenic reactions occurring before puberty. The clinical picture may differ from schizophrenic reactions occurring in other age periods because of the immaturity and plasticity of the patient at the time of onset of the reaction. Psychotic reactions in children, manifesting primarily autism, will be classified here."



#### DSM II - 1968

295.8 Schizophrenia, childhood type

This category is for cases in which schizophrenic symptoms appear before puberty. The condition may be manifested by autistic, atypical and withdrawn behavior; failure to develop identity separate from the mother's; and general unevenness, gross immaturity and inadequacy of development. These developmental defects may result in mental retardation, which should also be diagnosed.



DSM-II DSM-III-R DSM-IV DSM-V
(1968)

Schizophrenia

Childhood Type



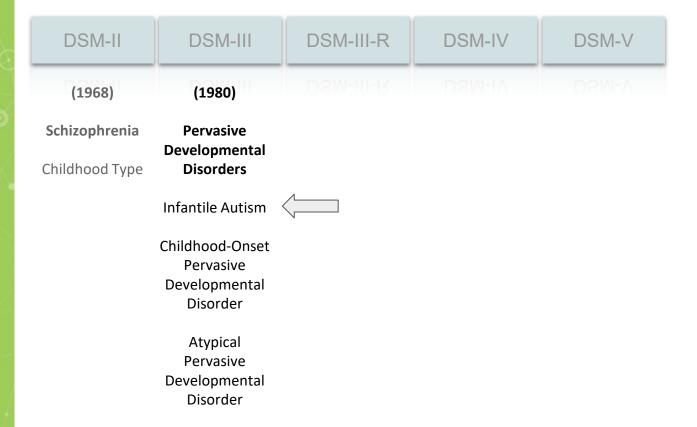
## **Twin Concordance Studies**

	Monozygotic (Identical)	Dizygotic (Fraternal)
Schizophrenia <sup>1</sup>	48%	4%
Autism <sup>2</sup>	88%	31%



<sup>1</sup> Onstad, Skre, Torgersen, Kringlen (1991)

<sup>2</sup> Rosenberg, Law, Yenokyan, McGready, Kaufmann, Law (2009)



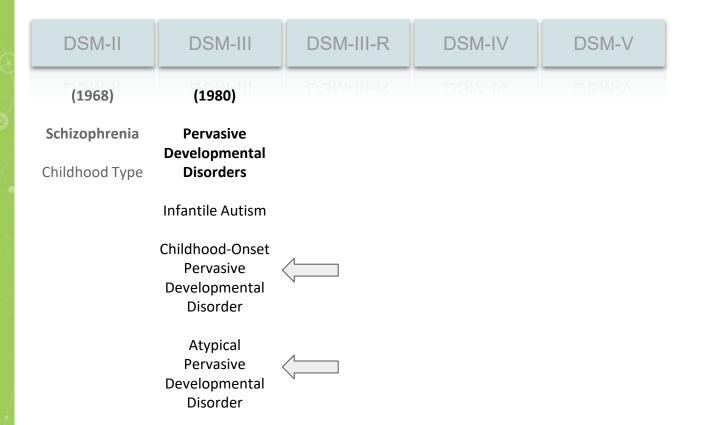


#### DSM III - 1980

## Diagnostic criteria for Infantile Autism

- A. Onset before 30 months of age
- B. Pervasive lack of responsiveness to other people (autism)
- C. Gross deficits in language development
- D. If speech is present, peculiar speech patterns such as immediate and delayed echolalia, metaphorical language, pronominal reversal.
- E. Bizarre responses to various aspects of the environment, e.g., resistance to change, peculiar interest in or attachments to animate or inanimate objects.
- F. Absence of delusions, hallucinations, loosening of associations, and incoherence as in Schizophrenia.







DSM-II	DSM-III	DSM-III-R	DSM-IV	DSM-V
(1968)	(1980)	(1987)	DSM-IV	DSM-V
Schizophrenia	Pervasive	Pervasive Developmental		
Childhood Type	Developmental Disorders	Disorders		
	Infantile Autism	Autistic Disorder		
	Childhood-Onset Pervasive Developmental Disorder	PDD-NOS		
	Atypical Pervasive Developmental Disorder			



DSM-II	DSM-III	DSM-III-R	DSM-IV	DSM-V
(1968)	(1980)	(1987)	(1994)	DSM-V
<b>Schizophrenia</b> Childhood Type	Pervasive Developmental Disorders	Pervasive Developmental Disorders	Pervasive Developmental Disorders	
	Infantile Autism	Autistic Disorder	Autistic Disorder	
	Childhood-Onset Pervasive	PDD-NOS	PDD-NOS	
	Developmental Disorder		Asperger's	
	Atypical		Rett's	
	Pervasive Developmental Disorder		Childhood Disintegrative Disorder	



"A single spectrum disorder is a better reflection of the state of knowledge about pathology and clinical presentation; previously, the criteria were equivalent to trying to "cleave meatloaf at the joints."

DSM V Neurodevelopmental Disorders Workgroup

"This is not science – this is a committee"

Catherine Lord



DSM-II	DSM-III	DSM-III-R	DSM-IV	DSM-V
(1968)	(1980)	(1987)	(1994)	(2013)
<b>Schizophrenia</b> Childhood Type	Pervasive Developmental Disorders	Pervasive Developmental Disorders	Pervasive Developmental Disorders	Autism Spectrum Disorder
	Infantile Autism	Autistic Disorder	Autistic Disorder	Level 1
	Childhood-Onset Pervasive Developmental	PDD-NOS	PDD-NOS	Level 2 Level 3
	Disorder		Asperger's	
	Atypical Pervasive		Rett's	
	Developmental Disorder		Childhood Disintegrative Disorder	



DSM-II	DSM-III	DSM-III-R	DSM-IV	DSM-V
(1968)	(1980)	(1987)	(1994)	(2013)
<b>Schizophrenia</b> Childhood Type	Pervasive Developmental Disorders	Pervasive Developmental Disorders	Pervasive Developmental Disorders	Autism Spectrum Disorder
	Infantile Autism	Autistic Disorder	Autistic Disorder	Level 1
	Childhood-Onset Pervasive	PDD-NOS	PDD-NOS	Level 2 Level 3
	Developmental Disorder		Asperger's	Level 5
	Atypical		Rett's	
	Pervasive Developmental Disorder		Childhood Disintegrative Disorder	

Social Communication



## Behavioral Interventions: Comprehensive vs. Focused

	Comprehensive	Focused
Disorders	Autism Spectrum	Non-Specific
Typical Intensity	20-40 hours per week	1-5 hours per week
Typical Duration	12-36 Months	5-20 Weeks
Behaviors Addressed	Multiple Targets	Single Targets
Age	Under Six Years	Non-Specific

DSM Changes?

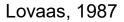


Lovaas, 1987 (DSM II)

Smith, Groen & Wynn, 2000 (DSM III-R)

Dawson & Rogers, et al., 2010 (DSM IV)







Schizophrenia

Childhood Type



#### **Lovaas, 1987**

"Behavioral Treatment and Normal Educational and Intellectual Functioning in Young Autistic Children"

**Design:** 40 hours ABA (n = 19) vs. 10 Hours ABA (n = 19)

Subject Diagnoses: "Autism"

**Subject Ages:** < 46 months

Tx Duration: Minimum, 2 years



#### **Lovaas, 1987 - Selected Findings**

- 40-hour group showed 20-point increase in IQ
- 10-hour group showed minor decrease in IQ
- Change in 40-hour group was due to 9 subjects
- IQ at intake was correlated with responsiveness to treatment

So...



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DSM-II DSM-III DSM-III-R DSM-IV DSM-V

Pervasive Developmental Disorders

**Autistic Disorder** 

PDD-NOS



#### Smith, Groen & Wynn, 2000

"Randomized Trial of Intensive Early Intervention for Children With Pervasive Developmental Disorder"

**Design:** 25 hours ABA (n = 15) vs. Parent Training (n = 13)

**Subject Diagnoses:** Autism: n = 14 / PDD-NOS: N = 14 (sequential referrals)

**Subject Ages:**  $\bar{x} = 36$  months

**Tx Duration:** ABA: 2-3 years / Parent Training: 6 months



#### Smith, Groen & Wynn, 2000 - Selected Findings

- ABA group showed significant gains over control group
- Gains were less than in Lovaas, 1987 study
- Average IQ change as a function of intensive treatment:

Autism	PDD-NOS
+4	+26

So...



DSM-II	DSM-III	DSM-III-R	DSM-IV	DSM-V
(1968)	(1980)	(1987)	D (1994)^	(2013)
Schizophrenia Childhood Type	Pervasive Developmental Disorders	Pervasive Developmental Disorders	Pervasive Developmental Disorders	Autism Spectrum Disorder
	Infantile Autism	Autistic Disorder	Autistic Disorder	Level 1
	Childhood-Onset Pervasive	PDD-NOS	PDD-NOS	Level 2
	Developmental Disorder		Asperger's	Level 3
	Atypical		Rett's	
	Pervasive Developmental Disorder		Childhood Disintegrative	



Dawson & Rogers et al., 2010

DSM-II DSM-III DSM-III-R DSM-IV DSM-V

(1994)

**Autistic Disorder** 

PDD-NOS

Asperger's

Rett's

Childhood Disintegrative Disorder



## Dawson & Rogers, et al., 2010

"Randomized, Controlled of an Intervention for Toddlers with Autism: The Early Start Denver Model"

**Design:** 20 hours ESDM (n = 24) vs. Referral to Community Resources (n = 24)

Subject Diagnoses: Autism: n = 39 / PDD-NOS: N = 9

**Subject Ages:**  $\bar{x} = 24$  months

Tx Duration: 2 years



#### Dawson & Rogers, et al., 2010 - Selected Findings

- ESDM group showed significant gains over control group
- Vineland standard scores at follow-up

ESDM	Control
Maintained	-11.2

• Average IQ change (Mullen):

ESDM	Control
+17.6	+7



## Dawson & Rogers, et al., 2010

"Randomized, Controlled of an Intervention for Toddlers with Autism: The Early Start Denver Model"

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**Subject Ages:**  $\bar{x} = 24$  months

Tx Duration: 2 years



# Do Differing DSM Criteria Matter When Interpreting Evidence?

- Maybe not, if you are looking at meatloaf.
- Instead, look at subject characteristics, and compare them to your client:

Age/Cognitive Skills/Communication Skills/Adaptive Skills



## **Final Thoughts**

- Empirical support for comprehensive interventions is limited to children under six.
- In most studies, higher-functioning subjects have been the most responsive to comprehensive behavioral programs.
- Don't make diagnoses that preclude evidence-based interventions.
- Particularly in high-functioning teens and adults, assess for comorbid conditions.





Surveillance Year	Birth Year	This is about 1 in X children
2000	1992	1 in 150
2002	1994	1 in 150
2004	1996	1 in 125
2006	1998	1 in 110
2008	2000	1 in 88
2010	2002	1 in 68
2012	2004	1 in 69
2014	2006	1 in 59
2016	2008	1 in 54



https://www.cdc.gov/ncbddd/autism/data.html

